



022883

GAV 2751

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/383,340	
	Filing Date	August 25, 1999	
	First Named Inventor	Steven Kleiman	
	Group Art Unit	2751	
	Examiner Name	Not Assigned	
Total Number of Pages in This Submission	7	Attorney Docket Number	NAP-010

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
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<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Daniel B. Curtis Reg. No. 39,159
Signature	
Date	11/23/99

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date <b>11.23.99</b>			
Typed or printed name	Arlette Malhas		
Signature		Date	11.23.99

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NAP-010

PATENT TRADEMARK OFFICE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Steve Kleiman

Serial No.: 09/383,340

Filed: August 25, 1999

Title: Scalable File Server with Highly  
Available Pairs

Group Art Unit: 2751

Examiner:

Attorney Docket No.: NAP-010

Assistant Commissioner for Patents  
Washington, D.C. 20231RECEIVED  
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TO 2700 MAIL ROOMINFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)

☐ under 37 CFR 1.97(c) together with either a:  
☐ Certification under 37 CFR 1.97(e), or  
☐ a \$240.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

☐ under 37 CFR 1.97(d) together with a:  
☐ Certification under 37 CFR 1.97(e), and  
☐ a petition under 37 CFR 1.97(d)(2)(ii), and  
☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

☒ Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware.

Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

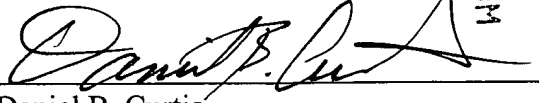
Respectfully submitted,

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C.

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Typed Name: Arlette Malhas

Signature: Arlette Malhas

  
Daniel B. Curtis  
Attorney/Agent for Applicant(s)  
Reg. No. 39,159

Date: 11/23/99

Telephone No.: 650-947-0700 ext 373

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